

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Vuginia 22313-1450 www.upplo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

22850 7.	7590 06/03/2003			EXAMINER			
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,				DOAN THERESA T			
P.C. 1940 DUKE STREET				DOAN, THERESA T			
ALEXANDRIA, V				ART UNIT	CLASS-SUBCLASS		
				2814	257-077000		
			DA	TE MAILED: 06/03/2003			
APPLICATION NO.	FILING DATE	FIRST NA	MED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/731,789	12/08/2000	Hideyo Okushi		200547US2	1445		
TITLE OF INVENTION: DIAMOND SEMICONDUCTOR AND DIAMOND SEMICONDUCTOR LIGHT-EMITTING DEVICE THAT USES THE SEMICONDUCTOR							
APPLN. TYPE	SMALE ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATÉ DUE		
nonprovisional	NO	\$1300	\$300	\$1600	09/03/2003		

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>, THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

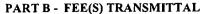
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

 Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents Alexandria, Virginia 22313-1450 (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 06/03/2003 OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below. 1940 DUKE STREET ALEXANDRIA, VA 22314 (Depositor's name) (Signature (Date FIRST NAMED INVENTOR ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE CONFIRMATION NO. 12/08/2000 Hideyo Okushi 200547US2 TITLE OF INVENTION: DIAMOND SEMICONDUCTOR AND DIAMOND SEMICONDUCTOR LIGHT-EMITTING DEVICE THAT USES THE SEMICONDUCTOR APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE \$1600 09/03/2003 nonprovisional NO \$1300 \$300 EXAMINER ART UNIT CLASS-SUBCLASS DOAN, THERESA T 2814 257-077000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a $\ensuremath{\mathbf{Q}}$ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ A check in the amount of the fee(s) is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS F.O. Box 1450 Alexandria, Vuginia 22313-1450 worw.upto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	FIRST NAMED INVENTOR ATTORNEY DOCKET NO.		
09/731,789	12/08/2000	Hideyo Okushi	200547US2	1445	
22850 75	590 06/03/2003		, EXAMINER		
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,			DOAN, THERESA T		
P.C. 1940 DUKE STRE	FT	Γ	ART UNIT	PAPER NUMBER	
ALEXANDRIA, VA 22314		_	2814		
		D	DATE MAILED: 06/03/2003		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 67 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 67 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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P.C. 1940 DUKE STRE	ET		ART UNIT	PAPER NUMBER .		
ALEXANDRIA, V	'A 22314		2814	•		
UNITED STATES			DATE MAILED: 06/03/2003			

Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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V	Application N	lo.	Applicant(s)		
AL AL PAR LINA	09/731,789		OKUSHI ET AL.		
Notice of Allowability	Examiner		Art Unit		
	Theresa T Do	an	2814		
The MAILING DATE of this communication apperation apperation allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. ☑ This communication is responsive to Amendment filed on the second	ears on the cov (OR REMAINS or other approp GHTS. This ap and MPEP 130 05/05/03. the Examiner. der 35 U.S.C. §	rer sheet with the co) CLOSED in this apportate communication oplication is subject to 08.	orrespondence addre	d course. THIS	
2. Certified copies of the priority documents have					
3. Copies of the certified copies of the priority doc International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 5. Acknowledgment is made of a claim for domestic priority us (a) The translation of the foreign language provisional a 6. Acknowledgment is made of a claim for domestic priority us Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of 7. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which gives reas 8. CORRECTED DRAWINGS must be submitted. (a) including changes required by the Notice of Draftspers	nder 35 U.S.C. pplication has bender 35 U.S.C. this communication application. hitted. Note the on(s) why the communication who has a possible to the ones.	seen received in this in the seen received in this in the seen received. §§ 120 and/or 121. ation to file a reply control of the seen received. attached EXAMINER that or declaration is	national stage applicat onal application). omplying with the requinate PERIOD IS NOT in the control of Note of the control of Note of the control of Note of	rements noted EXTENDABLE.	
1) hereto or 2) to Paper No					
(b) including changes required by the proposed drawing of	(b) including changes required by the proposed drawing correction filed, which has been approved by the Examiner.				
(c) including changes required by the attached Examiner	's Amendment /	Comment or in the (Office action of Paper I	No	
Identifying indicia such as the application number (see 37 CFR 1. each sheet.	.84(c)) should be	written on the drawin	ngs in the front (not the	back) of	
9. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T				lote the	
Attachment(s)					
1 ☐ Notice of References Cited (PTO-892) 3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 5 ☐ Information Disclosure Statements (PTO-1449), Paper No 7 ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	 	4∏ Interview Summa 6⊠ Examiner's Ame	al Patent Application (F ary (PTO-413), Paper ndment/Comment ement of Reasons for A	No	

Application/Control Number: 09/731,789

Art Unit: 2814



DETAILED ACTION

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

This application is in condition for allowance except for the presence of claims 7-10 is considered as non-elected claims. Accordingly, claims 7-10 have been cancelled.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Ronald Rudder on 05/30/03.

2. In the claims:

Cancel claims 7-10.

Reasons for Allowance

- 3. Claims 1-6 are allowed.
- 4. The following is an examiner's statement of reasons for allowance:

The prior art of record fails to disclose all the combination of a diamond semiconductor device structure as claimed, having an exciton light-emission intensity characteristic that varies nonlinearly, the diamond semiconductor being fabricated using a raw gas including a methane gas and a hydrogen gas in a microwave plasma

Application/Control Number: 09/731,789

Art Unit: 2814

chemical vapor deposition method, in which the methane gas has a concentration of not

more than 2%.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Theresa T Doan whose telephone number is (703) 305-

2366. The examiner can normally be reached on Monday to Thursday from 8:00AM -

6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, WAEL FAHMY can be reached on (703) 308-4918. The fax phone numbers

for the organization where this application or proceeding is assigned are (703) 308-7722

for regular communications and (703) 308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the receptionist whose telephone number is (703) 308-

0956.

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May 30, 2003

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Page 3

PRIMARY EXAMINER